

2834

PATENT
Attorney Docket No. 5225.0633

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
NORIO TAKAHASHI ET AL.)
)
Application No.: 09/863,402) Group Art Unit: 2834
)
Filed: May 24, 2001) Examiner: H. Elkassabgi
)
For: PERMANENT MAGNET)
RELUCTANCE MOTOR WITH)
EMBEDDED PERMANENT)
MAGNET HOLES (as amended))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action, Quayle Action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a communication from the European Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

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Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, an English language version of a search report from the European Patent Office in the counterpart application citing these documents and setting forth the relevance thereof is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

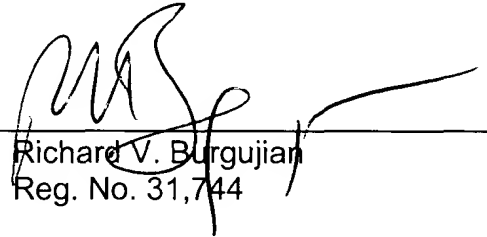
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: _____

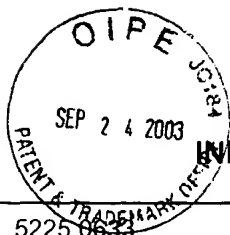
9/24/03

By: _____


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OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	5225.0633	Appln. No.	09/863,402
Applicant	Takahashi et al.		
Filing Date	May 24, 2001	Group:	2834

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,864,191 ✓	1/26/99	Nagate et al.			
	5,581,140 ✓	12/3/96	Futami et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
0 991 166 A2 ✓	4/5/00	Europe			
0 872 944 A1 ✓	10/21/98	Europe			
40 33 454 A1 ✓	4/23/92	Germany			No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	Sakai et al., "High Efficiency and High Performance Motor for Energy Saving in Systems," IEEE Power Engineering Society (January 28, 2001), pp. 1413-18

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce